# IAP15 Rec'd PCT/PTO 12 SEP 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Signature

and Deposit: September 6, 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hiroshi Kobata, et al.

U.S. Appln No.: 10/550,574

Based On: PCT JP2004/004278

International Filing Date: March 26, 2004

For: Control Apparatus for Hydraulic Cylinder

# PETITION AND AMENDMENT TO CORRECT ORIGINALLY NAMED INVENTORS

Mail Stop Petitions Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a petition and an amendment under 37 C.F.R. §1.48(a) to correct improper naming of inventors on the above-identified patent application.

Please add the following previously unnamed person as an inventor:

Rollin C. Christianson W311 S108 Wildwood Trail Delafield, WI 53108

The present U.S. patent application is a national phase of International Application PCT JP2004/004278. The declaration filed with the U.S. national phase

application contains Mr. Christianson's name and was signed by all the other inventors in accordance with 37 C.F.R. §1.63. A copy of that declaration is enclosed. Also enclosed are papers signed by the two assignees, HUSCO International, Inc. and Kayaba Industry Co., Ltd., consenting to adding Mr. Christianson as an inventor. After the consents were signed, the undersigned attorney was notified that the assignment was recorded at Reel 017834, Frame 0102.

Pursuant to 37 C.F.R. §1.48(a), Mr. Christianson also is required to sign a statement that his omission as an inventor was without deceptive intent. However, he refuses to sign any documents related to this application.

Therefore, applicants Hiroshi Kobata and Christopher J. Kolbe and the assignees request that the above identified patent application be amended by adding Rollin C. Christianson as an inventor, even though Mr. Christianson refuses to sign the requisite documents. Enclosed herewith is a Petition to Accept a Patent Application Made by Other Inventors on Behalf of Non-Signing Inventor.

#### **Statement of Facts**

The subject invention was made while Mr. Christianson was employed, along with co-inventor Christopher J. Kolbe, by HUSCO International, Inc. (HUSCO). The invention was made with co-inventor Hiroshi Kobata, who is employed in Japan by Kayaba Industry Co., Ltd. (Kayaba). After making the invention, Mr. Christianson left HUSCO and went to work for a competitor company.

-2-

The subject International Application PCT JP2004/004278 was filed in Japan by Kayaba. When it came time to file the U.S. national phase patent application, it was discovered that Rollin C. Christianson inadvertently was not named on the PCT application. In an attempt to rectify this error, he was listed on the declaration for the U.S. national phase application. However by that time, Mr. Christianson was no longer employed by HUSCO and did not reply to correspondence and a telephone requests that he sign the declaration. As noted above, the other inventors signed that declaration which listed Mr. Christianson as a co-inventor.

A petition was filed with the U.S. national phase application asking that the application be accepted without Mr. Christianson's signature and that petition was denied on July 11, 2006 because he was not listed on the published PCT application.

In response to the denial of that petition, a copy of the subject patent application with its declaration and a document stating that he was erroneously omitted as an inventor were sent to Mr. Christianson on August 9, 2006. Those documents were sent by first class mail to his last known home address. To date, the signed documents have not been received nor have the papers been returned by the U.S. Postal Service as undeliverable.

Therefore, the assignees and the other applicants have acted in a timely manner to name Mr. Christianson as an inventor and have not acted with any deceptive intent.

The other applicants and the assignees request that the above identified patent application be amended by adding Rollin C. Christianson as an inventor.

## Fee Payment

The \$130.00 petition processing fee and any other amounts due in this patent application should be charged to Deposit Account 17-0055. Any overpayment in the patent application should be credited to that Deposit Account.

Respectfully submitted Hiroshi Kobata, et al.

Dated: September 6, 2006

George E Haas

Registration No. 27,642

Quarles & Brady 411 E. Wisconsin Avenue Milwaukee, WI 53202-4497

(414) 277-5751

QBMKE\5935316.3

Approved for use through 07/31/2006. OMB 0651-0030 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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DECLARATION	First Name	d Inventor		· · · · · · · · · · · · · · · · · · ·					
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I hereby declare that:									
Each inventor's residence, ma	ailing address, a	and citizenship are a	as stated be	low next to	their nam	e.			
I believe the inventor(s) name which a patent is sought on the			inventor(s)	of the subje	ct matter	which is claim	ed and for		
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the specification of which		(Title of the	Invention)						
is attached hereto									
OR			-						
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Application Number PCT/J	P04/004278	and was amended	d on (MM/DI	D/YYYY)			(if applicable).		
I hereby state that I have revie	ewed and under	rstand the contents	of the above	e identified s	specificati	on, including	the claims, as		
amended by any amendment	specifically refe	erred to above.							
I acknowledge the duty to di	sclose informa	tion which is mater	rial to paten	tability as	defined in	37 CFR 1.5	6, including for		
continuation-in-part application and the national or PCT intern	ational filing da	ite of the continuation	ame avallat on-in-part ap	plication.	i ine ming	gate of the p	prior application		
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one									
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[Page 1 of 2]
This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2. [footer box]

# **DECLARATION** — Utility or Design Patent Application

correspondence to:	ne addresssociated with ustomer Number.	28382			OR		Correspondence address below	
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.								
NAME OF SOLE OR FIRST IN	VENTOR:		otition b	oc boon	filed for thi		od inconta-	
Given Name (first and middle [i		<u>                                   </u>	euuon n		ily Name o		ned inventor	
Hiroshi	· ·			КОВАТА				
							16.	
Inventor's Signature  Hinoshi Kobata				Date 05/01/13				
Residence: City	State		Count	ry		Citizer		
Sagamihara-shi	<sub>aga</sub> mihara-shi Kanagawa			า		Japar	า	
Mailing Address C/O KAYABA INDUSTR	RY CO., LTD. World	d Trade	Cente	r Bldg.	4-1, Ha	mama	ntsu-cho 2-chome	
	<u></u>							
City	State Tokyo			<sup>Zip</sup> 105-6	100		Country	
Minato-ku	TORYO		-	Too o too Japan			Japan :	
NAME OF SECOND INVENTO	R:			A petitio	on has bee	n filed fo	or this unsigned inventor	
Given Name (first and middle [if	<u>, —</u>	Family Name or Sumame						
Rollin C.				CHRISTIANSON				
Inventor's Signature			Date			Date		
Residence: City	State		Countr	у		Citizen	ship	
Waukesha County	Wisconsin			U.S.A. U.S.			١.	
Mailing Address	· · · · · · · · · · · · · · · · · · ·	J	-			*		
W311 S108 Delafield								
City	State		Z	ip		Countr	у	
Waukesha County	Wisconsin			53018 U.S.A			·	
Additional inventors or a legal rep	presentative are being named on	the s	upplement	al sheet(s)	PTO/SB/02A	or 02LR a	ttached hereto.	

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U.S. DEPARTM

# **DECLARATION**

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page \_\_\_ of \_\_\_

Name of Additional Joint Inventor, if any:			A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])				Family Name or Sumame			
Christopher John				KOLBE			
Inventor's Signature Mutiply John	Bu	W.			Date 3/9/05		
Residence: City Waukesha County	Sta	wiscor	nsir	U.S.A.	Date 3/9/05 U.S.A.		
Mailing Address S. 72W South Lane Mu	skego						
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City Waukesha County	Sta	<sub>ite</sub> Wiscor	nsin	n ZIP 53150 Coun		u.S.A.	
Name of Additional Joint Inventor, if any:  A petition has been filed for this unsigned inventor							
Given Name (first and middle [if any	<u>/])                                    </u>			Family Nan	ne or S	Sumame	
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Inventor's Signature	<del></del>					Date	
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Name of Additional Joint Inventor, if any:				☐ A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])			Family Name or Surname				
Inventor's Signature						Date	
Residence: City State			Country		Citizenship		
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hiroshi Kobata, et al.

Serial No.: 10/550,574

International Filing Date: March 26, 2004

Title:

Control Apparatus for Hydraulic Cylinder

# CONSENT OF ASSIGNEE TO CORRECTION AND ADDITION TO ORIGINALLY NAMED INVENTORS

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Husco International, Inc. having a place of business at W239 N218 Pewaukee Road, Waukesha, WI 53187-0257 is the assignee of an undivided right, title and interest in above-identified U.S. patent application. A copy of the assignment from Christopher Kolbe, an originally named inventor, is enclosed herewith. The original assignment was submitted to the U.S. Patent and Trademark Office with the patent application, however the assignee has yet to be informed of its recordation.

Husco International, Inc. hereby consents to the change of inventorship being requested herewith in which Rollin C. Christianson is to be added as a inventor.

Respectfully submitted Husco International, Inc.

Dated: Phys + 16, 2006

Name: Donald Reinicker
Title: Vice-President

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hiroshi Kobata, et al.

Serial No.: 10/550,574

International Filing Date: March 26, 2004

Title:

Control Apparatus for Hydraulic Cylinder

# CONSENT OF ASSIGNEE TO CORRECTION AND ADDITION TO ORIGINALLY NAMED INVENTORS

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Kayaba Industry Co., Ltd. having a place of business at World Trade Center Building, 4-1, Hamamatsu-cho 2-chome, Minato-ku, Tokyo, Japan 105-6111 is the assignee of an undivided right, title and interest in above-identified U.S. patent application. A copy of the assignment from Hiroshi Kobata, an originally named inventor, is enclosed herewith. The original assignment was submitted to the U.S. Patent and Trademark Office with the patent application, however the assignee has yet to be informed of its recordation.

Kayaba Industry Co., Ltd. hereby consents to the change of inventorship being requested herewith in which Rollin C. Christianson is to be added as a inventor.

Respectfully submitted Kayaba Industry Co., Ltd.

Dated: August 22, 2006

Name: HARUKI UBUKATA

By: Haruki Ubukatas

Title: General Manager Intellectual Property Dept.

### **ASSIGNMENT**

WHEREAS, WE, KOBATA, Hiroshi of Tokyo, Japan; CHRISTIANSON Rollin C. of Wisconsin, U.S.A.; and KOLBE, Christopher John of Wisconsin, U.S.A. have made certain inventions which are described in an application for Letters Patent of the United States entitled CONTROL APPARATUS FOR HYDRAULIC CYLINDER executed by us on even dates herewith preparatory to obtaining United States Letters Patent therefor, and

### WHEREAS, KAYABA INDUSTRY CO., LTD. and

<u>HUSCO International</u> (hereinafter referred to as said Company) having a place of business at \_\_ is desirous of acquiring the entire interest in said inventions throughout the United States of America and the territories thereof, for all other countries and under all international agreements,

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, receipt whereof is hereby acknowledged, we hereby sell, assign and transfer unto said Company, its successors and assigns, the entire right, title and interest throughout the United States of America and the territories thereof, for all foreign countries and under all international agreements in and to said inventions, the aforesaid application, all other applications filed in the United States, in any other country, or under any international agreement based in whole or in part on said inventions, and all Letters Patents granted upon said applications by the United States, by any other country or under any international agreement, and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Company. We further grant to said Company, its successors and assigns, the right to claim for any of said applications the full benefits and priority rights of any international agreement between the United States and any foreign country or countries or between any other countries, and of any law of the United States.

We hereby warrant that we have the full right to make the conveyance herein, and we hereby covenant that we, our heirs, legal representatives and assigns, will when requested, communicate to said Company, its representatives, successors and assigns, all facts known respecting said inventions, execute all divisional, continuing, reissue, reexamination and foreign or international applications, together with individual assignments therefor, make all rightful oaths, sign all lawful papers, testify in any legal proceeding and generally do everything possible to aid said Company, its successors and assigns, in the obtaining of Letters Patent.

IN TESTIMONY WHEREOF, we have hereunto set our hands and seals at the location and on the date indicated with our signatures.

· -	Hiroshi Kobata	(SEAL)
Witnesses:		
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411 East Wisconsin Avenue Milwaukee, Wisconsin 53202-4497 Tel 414.277.5000 Fax 414.271.3552 www.quarles.com

Attorneys at Law in: Phoenix and Tucson, Arizona Naples, Florida Chicago, Illinois Milwaukee and Madison, Wisconsin

Writer's Direct Dial: 414.277.5751 E-Mail: geh@quarles.com

August 9, 2006

Mr. Rollin C. Christianson W311 S108 Wildwood Trail Delafield, WI 53108

Re: HUSCO Patent Application

Control Apparatus for Hydraulic Cylinder

Dear Rollin:

While you were employed by HUSCO International, Inc., you, Chris Kolbe and Hiroshi Kobata of Kayaba made an invention related to a system for controlling a hydraulic cylinder. HUSCO and Kayaba have filed a patent application in the United States on that invention.

Although prior to filing, the application was sent to you along with documents to sign. We never received the signed papers from you. Therefore, we now would like to add you as an inventor to this application so that you will receive proper recognition for the work. For that purpose, I am enclosing a document stating that you were erroneously omitted as an inventor. Also enclosed is a copy of the application with the standard declaration form for you to sign. In addition, please sign the assignment transferring your rights in the invention to HUSCO and Kayaba, as required by your employment agreement with HUSCO.

I should appreciate receiving the signed documents at your earliest convenience. If you have any questions please contact me

Very truly yours,

QUARLES & BRADY LLP

George E. Haas

GEH/kdc Enclosures

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hiroshi Kobata, et al.

Serial No.: 10/550,574

International Filing Date: March 26, 2004

Title:

Control Apparatus for Hydraulic Cylinder

# STATEMENT OF PERSON SOUGHT TO BE ADDED AS AN INVENTOR

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Rollin C. Christianson, state that I was erroneously omitted from being named as an inventor on the above-identified patent application and that such error occurred without any deceptive intention on my or anyone else's part.

Respectfully submitted,

Rollin C. Christianson